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1FWH

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/804,782 | 03/19/2004 | James Weatherly | 1491-8 |

CONFIRMATION NO. 7764

FORMALITIES LETTER



OC000000012842550

29941
 GLENN C. BROWN, PC
 777 NW WALL STREET, SUITE 308
 BEND, OR 97701

Date Mailed: 06/02/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 15 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$80** for a Small Entity

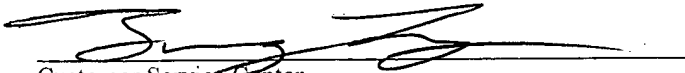
- \$15 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

Adjustment date: 07/27/2004 WASFAW1
 03/23/2004 HLE333 00000037 10804782
 01 FC:2001 -370.00 OP

07/27/2004 WASFAW1 00000105 10804782
 01 FC:2001 385.00 OP
 02 FC:2051 65.00 OP

A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to be "S. J. [unclear]", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$80.

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 10/804,782 |
| Filing Date | March 19, 2004 |
| First Named Inventor | James Weatherly |
| Examiner Name | N/A |
| Group / Art Unit | 3724 |
| Attorney Docket No. | 1491-8 |

METHOD OF PAYMENT (check one)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number

5022-32

Deposit
Account
Name

Glenn C. Brown, P.C.

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below
☒ Credit any overpayments to the above-identified deposit account
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------|-------------|-----------------------------|-------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|-----------------------|--------------|-----------------|-------------------|-------------|
| Total Claims | 8 | -20** | X | |
| Independent Claims | 1 | -3** | X | |
| Multiple Dependent | | | X | |

Large Entity

Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|-------------|-------------|-------------|-------------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2224 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|------------------------------------|-----------------------|----------------------|-----------------------|--|-------------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 60 |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 2,010 | 2254 | 1,005 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| Other fee (specify) Fee deficiency | | | | | 15 |
| Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | (\$80) |

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|----------------|----------------------------------|--------|-----------|---------------|
| Name (Print/Type) | Glenn C. Brown | Registration No. Attorney/Agent) | 34,555 | Telephone | 541/312-2500 |
| Signature | | | | Date | July 21, 2004 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James Weatherly

Serial No. 10/804,782

Filed: March 19, 2004

Title: Shaping Swaging and Shaping
Apparatus

) Examiner: N/A
)
) Group Art Unit: 3724
)
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)
)
)

Commissioner for Patents
Mail Stop Application Number
Post Office Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Enclosed are a Fee Transmittal for FY 2004, Notice to File Missing Parts of Nonprovisional Application, Power of Attorney or Authorized Agent, Declaration for Utility or Design Patent Application, and our check for \$80 for the surcharge and fee deficiency in connection to the above-referenced patent application.

Respectfully submitted,
Glenn C. Brown, P.C.

Glenn C. Brown
Registration No. 34,555

Dated: July 21, 2004

GCB/cjb
Glenn C. Brown, P.C.
777 N.W. Wall Street, Suite 308
Bend, Oregon 97701
541/312-2500

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify, pursuant to 37 C.F.R. § 1.8 that this paper or fee (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to: Assistant Commissioner for Patents, Post Office Box 1450, Arlington, Virginia 22313-1450.

Dated: July 21, 2004

By:
Cheryl J. Blackman